

FORM PTO-1390 (Modified)  
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES**  
**DESIGNATED/ELECTED OFFICE (DO/EO/US)**  
**CONCERNING A FILING UNDER 35 U.S.C. 371**

K020

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

09/720762

INTERNATIONAL APPLICATION NO.

PCT/JP99/03459

INTERNATIONAL FILING DATE

29 June 1999

PRIORITY DATE CLAIMED

29 June 1998

TITLE OF INVENTION

**GASKET FOR PRE-FILLED SYRINGE AND PRE-FILLED SYRINGE**

APPLICANT(S) FOR DO/EO/US

**YANASE, Kazuyuki, et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 13 to 18 below concern document(s) or information included:**

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.  
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

**International Preliminary Examination Report**

PTO-1449

PCT/RO/101

PCT/IB/301

PCT/IB/304

PCT/IB/308

PCT/IB/332

PCT/306 (mailed on Jan. 12, Jan. 19 and Sep. 28, 2000)

Notification of Change of Applicant filed on August 11, 2000

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) <b>09/72076</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP99/03459</b>		ATTORNEY'S DOCKET NUMBER <b>K0208.014</b>	
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20. The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b>				<b>CALCULATIONS PTO USE ONLY</b>	
<input type="checkbox"/>	Search Report has been prepared by the EPO or JPO .....	\$930.00			
<input type="checkbox"/>	International preliminary examination fee paid to USPTO (37 CFR 1.482) .....	\$720.00			
<input type="checkbox"/>	No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....	\$790.00			
<input type="checkbox"/>	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$1,070.00			
<input type="checkbox"/>	International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....	\$98.00			
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>			\$910.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).			\$0.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	5 - 20 =	0	x	\$0.00	
Independent claims	1 - 3 =	0	x	\$0.00	
Multiple Dependent Claims (check if applicable).			<input checked="" type="checkbox"/>	\$270.00	
<b>TOTAL OF ABOVE CALCULATIONS</b>				=	\$1,180.00
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).				<input type="checkbox"/>	\$0.00
<b>SUBTOTAL</b>				=	\$1,180.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				+	\$0.00
<b>TOTAL NATIONAL FEE</b>				=	\$1,180.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).				<input checked="" type="checkbox"/>	\$40.00
<b>TOTAL FEES ENCLOSED</b>				=	\$1,220.00
				Amount to be: refunded	\$
				charged	\$

  

☒ A check in the amount of **\$1,220.00** to cover the above fees is enclosed.  
  
☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.  
  
☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **16-0633** A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Customer No. 23723

SIGNATURE

**Benjamin Levi**  
 NAME

**37,517**  
 REGISTRATION NUMBER

**December 28, 2000**  
 DATE

ATTORNEY DKT NO.: K0208.014

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: YANASE, Kazuyuki, et al. Group Art: Not Yet Known  
International Filing Date: 29 June 1999  
Priority Date: 29 June 1998  
International Application No.: PCT/JP99/03459  
U.S. Serial No.: Not Yet Assigned Examiner: Not Yet Known  
U.S. Filing Date: Herewith

For: GASKET FOR PRE-FILLED SYRINGE AND PRE-FILLED SYRINGE

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Honorable Commissioner of Patents & Trademarks  
Washington, D.C. 20231  
BOX PCT APPLICATION

December 28, 2000

EXPRESS MAIL CERTIFICATE

Sir:

Express Mail Label No.: EL030171539US Date of Deposit: December 28, 2000

I hereby certify that the following attached papers:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (2x);
2. Copy of PCT/RO/101
3. Copy of PCT/IB/301
4. Copy of PCT/IB/304
5. Copy of PCT/IB/308
6. Copy of PCT/IB/332
7. Copy of Publication of International Application with International Search Report
8. IDS
9. PTO-1449
10. Copy of PCT/IB/306 (Notification of the Recording of a Change) mailed on Jan. 12, 2000
11. Copy of PCT/IB/306 (Notification of the Recording of a Change) mailed on Jan. 19, 2000

12. Copy of PCT/IB/306 (Notification of the Recording of a Change)  
mailed on Sep. 28, 2000
13. Copy of International Preliminary Examination report
14. Copy of Notification of Change of Applicant filed on August 11, 2000
15. Preliminary Amendment;
16. Specification in the English Language;
17. 1 page of Drawings (formal);
18. Combined Declaration for Patent Application and Power of Attorney;
19. Assignment;
20. Recordation Form Cover Sheet (Original and 1 copy);
21. Check for \$1,220.00
22. Return Postcard

are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner of Patents & Trademarks, Box PCT, Washington, D.C. 20231.

Marjorie V. Ortega

(Typed or printed name of person  
mailing paper(s) or fee)

Marjorie V. Ortega  
(Signature of person mailing  
paper(s) or fee)

Customer No. 23723